

SHEET 1 OF 1

Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

213575US0PCT

SERIAL NO.

09/914,782

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Takashi AKITA, et al.

FILING DATE

September 5, 2001

GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,843,767	12/01/98	Kenneth L. BEATTIE			
	AB	6,037,186	03/14/2000	Don STIMPSON			
	AC	6,183,970	02/06/2001	Kazunori OKANO, et al.			
	AD	6,071,702	06/06/2000	Kenji YAMAMOTO, et al.			
	AE	6,129,896	10/10/2000	Timothy NOONAN, et al.			
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO
	AO	4-46193	02/17/92	JAPAN (with English Abstract)	X
	AP	WO 99/13313	03/18/99	WIPO	
	AQ	WO 99/19711	04/22/99	WIPO	
	AR	WO 99/55460	11/04/99	WIPO	
	AS				
	AT				
	AU				
	AV				

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	Gennady YERSHOV, et al., Proc. Natl. Acad. Sci. USA, vol. 93, no. 10, pages 4913-4918, "DNA ANALYSIS AND DIAGNOSTICS ON OLIGONUCLEOTIDE MICROCHIPS", May 1996
	AX	D. PROUDNIKOV, et al., Anal Biochem, vol. 259, no. 1, pages 34-41, "IMMOBILIZATION OF DNA IN POLYACRYLAMIDE GEL FOR THE MANUFACTURE OF DNA AND DNA-OLIGONUCLEOTIDE MICROCHIPS", May 1998
	AY	
	AZ	

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Docket No. 213575US0PCT/smb

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Takashi AKITA, et al.  
SERIAL NO: 09/914,782  
FILED: September 5, 2001  
FOR: CARRIERS HAVING BIOLOGICAL SUBSTANCE

GAU:  
EXAMINER:

**INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☒ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☒ A check is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

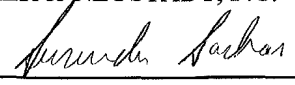
- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☒ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

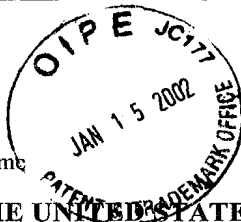
  
Norman F. Oblon  
Registration No. 24,618

Surinder Sachar  
Registration No. 34,423



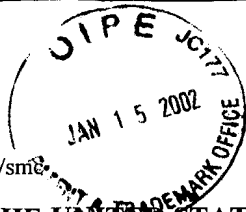
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